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IA9 Rec'd PCT/PTO 06 FEB 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
 Ken'ichi NAGATA et al. : **Mail Stop: PCT**
 Serial No. NEW : **Attorney Docket No. 2006_0131A**
 Filed February 6, 2006 :

OPTICAL INFORMATION RECORDING
 MEDIUM AND METHOD FOR PRODUCING THE SAME
**[Corresponding to PCT/JP2004/011239
 Filed August 5, 2004]**

THE COMMISSIONER IS AUTHORIZED
 TO CHARGE ANY DEFICIENCY IN THE
 FEES FOR THIS PAPER TO DEPOSIT
 ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____;
 and/or
- ☐ each reference previously cited in prior parent application Serial No.
 _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
 above-entitled application, or

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. } W/K/ ATTACHMENT "C"

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

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3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at page 3,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

U.S. Patent No. 6,456,584 corresponds to JP 2000-36130.

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Ken'ichi NAGATA et al.

By Michael S. Huppert
Michael S. Huppert
Registration No. 40,268
Attorney for Applicants

MSH/kjf
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
February 6, 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: February 6, 2006

ATTY DOCKET NO.
2006_0131ASERIAL NO.
NEW

10/567356

APPLICANT
Ken'ichi NAGATA et al.FILING DATE
February 6, 2006

GROUP

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|--------|---------------|-------|----------|-------------------------------|
| | AA | 6,456,584 | 9/2002 | Nagata et al. | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
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| | AI | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|--|----|--------------------|---------|---------|-------|----------|-----------------------|
| | AJ | 2000-322774 | 11/2000 | JP | | | Abstract |
| | AK | 1-315044 | 12/1989 | JP | | | Abstract |
| | AL | 10-154351 | 6/1998 | JP | | | Abstract |
| | AM | 2000-36130 | 2/2000 | JP | | | Abstract |
| | AN | 9-320100 | 12/1997 | JP | | | Abstract |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AO | |
| | AP | |
| | AQ | |

EXAMINER

/William Klimowicz/ (09/12/2008)

DATE CONSIDERED

09/12/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /WK/